



LOYOLA COLLEGE (AUTONOMOUS), CHENNAI – 600 034

U.G. DEGREE EXAMINATION – ALLIED

FOURTH SEMESTER – APRIL 2023

UCO 4403 – STOCK MARKET OPERATIONS

Date: 04-05-2023

Dept. No.

Max. : 100 Marks

Time: 09:00 AM - 12:00 NOON

PART – A

(10x 2 = 20 Marks)

Answer ALL questions

1. Write a short note on 'SENSEX'
2. Define 'IPO'.
3. Who are merchant bankers?
4. What is primary market?
5. Explain the term short selling?
6. What is a share?
7. 8. What is dematerialization of shares?
9. What is the maximum brokerage that a broker can charge? What is the industry average brokerage?
10. What is STT?
What is a bad delivery of shares?

PART – B

(4 x 10 = 40 Marks)

Answer any FOUR questions

11. What are the major rights and obligations of an investor?
12. What are the products traded in Indian securities market?
13. How does stock market work?
14. What is the reason for Silicon Valley Bank's collapse and how it affected the stock market around the world?
15. What are the charges that can be levied on the investor by a stock broker?
16. What is 'Technical Analysis'? State its objectives.
17. Explain the different types of preference shares.

PART – C

(2 x 20 = 40 Marks)

Answer any TWO questions

18. What are the various options trading strategies used by investors and speculators? Give examples.
19. What are the advantages and disadvantages of E- trading? Give examples.
20. Explain the procedure to calculate the BSE's SENSEX in detail.
21. What are the requirements for listing of shares in stock exchanges? Explain.

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